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| FORM PTO-390<br>REV. 2/01T  |                                     | U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE   |  | CUSTOMER NO. 22,852                               |  |
| <b>TRANSMITTAL LETTER TO THE UNITED STATES<br/>DESIGNATED/ELECTED OFFICE (DO/EO/US)<br/>CONCERNING A FILING UNDER 35 U.S.C. 371</b> |                                     |   |  | ATTORNEY'S DOCKET NUMBER                          |  |
|   |                                     |   |  | 07810.0118-00000                                  |  |
|   |                                     |   |  | U.S. APPLICATION NO.<br>(If known, see 37CFR 1.5) |  |
|   |                                     |   |  | <b>10/518338</b>                                  |  |
| INTERNATIONAL APPLICATION NO.   |                                     | INTERNATIONAL FILING DATE   |  | PRIORITY DATE CLAIMED                             |  |
| PCT/US2003/023267   |                                     | August 15, 2003   |  | August 16, 2002                                   |  |
| TITLE OF INVENTION<br>KAOLIN PIGMENT PRODUCTS   |                                     |   |  |   |  |
| APPLICANT(S) FOR DO/EO/US<br>David L. LIGHT; Christopher R.L. GOLLEY; Ernest GAY; Milton CONSTANTIN                                 |                                     |   |  |   |  |
| Applicant(s) herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:  |                                     |   |  |   |  |
| 1.  | <input checked="" type="checkbox"/> | This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.   |  |   |  |
| 2.  | <input type="checkbox"/>            | This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.   |  |   |  |
| 3.  | <input checked="" type="checkbox"/> | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. |  |   |  |
| 4.  | <input type="checkbox"/>            | The US has been elected by the expiration of 19 months from the priority date (Article 31).   |  |   |  |
| 5.  | <input checked="" type="checkbox"/> | A copy of the International Application as filed (35 U.S.C. 371 (c)(2)).  |  |   |  |
|   | a.                                  | <input checked="" type="checkbox"/>   | is attached hereto (required only if not communicated by the International Bureau).            |   |  |
|   | b.                                  | <input type="checkbox"/>  | has been communicated by the International Bureau.   |   |  |
|   | c.                                  | <input type="checkbox"/>  | is not required, as the application was filed with the United States Receiving Office (RO/US). |   |  |
| 6.  | <input type="checkbox"/>            | An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).   |  |   |  |
|   | a.                                  | <input type="checkbox"/>  | is attached hereto.  |   |  |
|   | b.                                  | <input type="checkbox"/>  | has been previously submitted under 35 U.S.C. 154 (d)(4).                                      |   |  |
| 7.  | <input type="checkbox"/>            | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)).  |  |   |  |
|   | a.                                  | <input type="checkbox"/>  | are attached hereto (required only if not communicated by the International Bureau).           |   |  |
|   | b.                                  | <input type="checkbox"/>  | have been communicated by the International Bureau.  |   |  |
|   | c.                                  | <input type="checkbox"/>  | have not been made; however, the time limit for making such amendments has NOT expired.        |   |  |
|   | d.                                  | <input type="checkbox"/>  | have not been made and will not be made.   |   |  |
| 8.  | <input type="checkbox"/>            | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).  |  |   |  |
| 9.  | <input type="checkbox"/>            | An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).   |  |   |  |
| 10.   | <input type="checkbox"/>            | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).                   |  |   |  |
| Items 11 to 20 below concern document(s) or information included:   |                                     |   |  |   |  |
| 11.   | <input checked="" type="checkbox"/> | Information Disclosure Statement under 37 CFR 1.97 and 1.98   |  |   |  |
| 12.   | <input type="checkbox"/>            | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.   |  |   |  |
| 13.   | <input type="checkbox"/>            | A FIRST preliminary amendment.  |  |   |  |
| 14.   | <input type="checkbox"/>            | A SECOND or SUBSEQUENT preliminary amendment.   |  |   |  |
| 15.   | <input type="checkbox"/>            | A Substitute specification.   |  |   |  |
| 16.   | <input type="checkbox"/>            | A change of power of attorney and/or address letter.  |  |   |  |
| 17.   | <input type="checkbox"/>            | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.   |  |   |  |
| 18.   | <input type="checkbox"/>            | A second copy of the published international application under 35 U.S.C. 154 (d)(4).  |  |   |  |
| 19.   | <input type="checkbox"/>            | A second copy of the English language translation of the international application 35 U.S.C. 154 (d)(4).  |  |   |  |
| 20.   | <input checked="" type="checkbox"/> | Other items or information:   |  |   |  |
|   | a.                                  | <input checked="" type="checkbox"/>   | Copy of cover page of International Publication No. WO 2004/016697                             |   |  |
|   | b.                                  | <input type="checkbox"/>  | Copy of Notification of Missing Requirements.  |   |  |
|   | c.                                  | <input type="checkbox"/>  |  |   |  |

|  |              |  |  |   |           |
|--|--------------|--|--|---|-----------|
| U.S. APPLICATION NO. (If known, see 37 CFR 1.53)<br><b>10/518338</b>   |              | INTERNATIONAL APPLICATION NO.<br>PCT/US2003/023267 |  | ATTORNEY'S DOCKET<br>NUMBER: 07810.0118-00000 |           |
| 21. <input checked="" type="checkbox"/> The following fees are submitted:  |              |  |  | CALCULATIONS PTO USE ONLY                     |           |
| <b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):</b>  |              |  |  | <b>\$300.00</b>                               |           |
| National Stage Search Fee.....   |              |  |  | \$500.00                                      |           |
| National Stage Examination Fee.....  |              |  |  | \$200.00                                      |           |
| ENTER APPROPRIATE BASIC FEE AMOUNT =   |              |  |  | \$1000.00                                     |           |
| Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)). <input type="checkbox"/> 20 <input type="checkbox"/> 30   |              |  |  | \$  |           |
| CLAIMS   | NUMBER FILED |  | NUMBER EXTRA   | RATE  |           |
| Total Claims   | 25           | - 20 =   | 5  | x \$50.00                                     | \$ 250.00 |
| Independent Claims   | 2            | - 3 =  | 0  | x \$200.00                                    | \$        |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable)  |              |  |  | + \$360.00                                    | \$        |
| <b>TOTAL OF THE ABOVE CALCULATIONS =</b>   |              |  |  | <b>\$1250.00</b>                              |           |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.   |              |  |  | \$  |           |
| <b>SUBTOTAL =</b>  |              |  |  | <b>\$1250.00</b>                              |           |
| Processing fee of \$130.00 for furnishing the English translation later than months from the earliest priority date (37 CFR 1.492(f)). <input type="checkbox"/> 20 <input type="checkbox"/> 30   |              |  |  | \$  |           |
| <b>TOTAL NATIONAL FEE =</b>  |              |  |  | <b>\$1250.00</b>                              |           |
| Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property.   |              |  |  | +   |           |
| <b>TOTAL FEES ENCLOSED =</b>   |              |  |  | <b>\$1250.00</b>                              |           |
|  |              |  |  | Amount to be refunded:                        | \$        |
|  |              |  |  | charged:                                      | \$        |
| a. <input checked="" type="checkbox"/> A check in the amount of \$ 1250.00 to cover the above fees is enclosed.<br>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.<br>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>06-0916</u> . A duplicate copy of this sheet is enclosed.<br>d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038. |              |  |  |   |           |
| NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.   |              |  |  |   |           |
| SEND ALL CORRESPONDENCE TO:  |              |  |  |   |           |
| Finnegan, Henderson, Farabow, Garrett & Dunner, L.L.P.<br>1300 I Street, N.W.<br>Washington, D.C. 20005-3315   |              |  | /Louis Troilo/<br>SIGNATURE<br>Louis M. Troilo, Reg. No. 45,284<br>NAME/REGISTRATION NO. |   |           |
| DATED: December 17, 2004   |              |  |  |   |           |